



# Biomembrane disruption by silica-core nanoparticles: Effect of surface functional group measured using a tethered bilayer lipid membrane



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## ABSTRACT

Engineered nanomaterials (ENM) have desirable properties that make them well suited for many commercial applications. However, a limited understanding of how ENM's properties influence their molecular interactions with biomembranes hampers efforts to design ENM that are both safe and effective. This paper describes the use of a tethered bilayer lipid membrane (tBLM) to characterize biomembrane disruption by functionalized silica-core nanoparticles. Electrochemical impedance spectroscopy was used to measure the time trajectory of tBLM resistance following nanoparticle exposure. Statistical analysis of parameters from an exponential resistance decay model was then used to quantify and analyze differences between the impedance profiles of nanoparticles that were unfunctionalized, amine-functionalized, or carboxyl-functionalized. All of the nanoparticles triggered a decrease in membrane resistance, indicating nanoparticle-induced disruption of the tBLM. Hierarchical clustering allowed the potency of nanoparticles for reducing tBLM resistance to be ranked in the order amine > carboxyl > bare silica. Dynamic light scattering analysis revealed that tBLM exposure triggered minor coalescence for bare and amine-functionalized silica nanoparticles but not for carboxyl-functionalized silica nanoparticles. These results indicate that the tBLM method can reproducibly characterize ENM-induced biomembrane disruption and can distinguish the BLM-disruption patterns of nanoparticles that are identical except for their surface functional groups. The method provides insight into mechanisms of molecular interaction involving biomembranes and is suitable for miniaturization and automation for high-throughput applications to help assess the health risk of nanomaterial exposure or identify ENM having a desired mode of interaction with biomembranes.

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## 1. Introduction

Engineered nanomaterials (ENM) exhibit desirable electronic, catalytic and photonic properties and are rapidly being introduced into diverse commercial products [1–4]. However, considerable evidence has been obtained through in-vitro [5] and in-vivo [6,7] studies that ENM can cause significant health risks [8,9]. The ENM can enter the body through multiple pathways, interact with cells and then induce cytotoxicity in a variety of ways, including disrupting membranes, denaturing proteins, triggering oxidative stress, inducing inflammation, etc. Currently, methods to assess health effects of ENM and to rapidly screen ENM for likely health risks are inadequate [10].

For ENM to trigger toxicity, they must interact with cell membranes [11]. A wide variety of interaction mechanisms are possible; the ENM could bind to the membrane, aggregate around the membrane, remove

lipids from the membrane, become stably embedded in the membrane, pass through the membrane, create dynamic pores in the membrane, be endocytosed by the membrane, etc. [12]. An ENM's physicochemical properties are likely to strongly influence its interactions with biomembranes. Several reports have suggested that nanoparticle transport through biomembranes varies with the nanoparticles' surface charge and size [13–16]. Negatively charged nanoparticles were more rapidly taken up by adenocarcinoma lung cells than positively charged ones [17]. On the other hand, HeLa cells more rapidly endocytosed positively charged nanoparticles than negatively charged ones [18]. A recent review of the influence of surface properties of ENM on cellular interactions indicated that, in general, uncharged ENM interact less aggressively with cells, and that positively charged ENM are most efficient in crossing cell membranes [19]. Consistent with these findings, Yacobi et al. [14] observed that transcellular trafficking of positively charged, amidine-functionalized polystyrene nanoparticles (amidine-PNP) across primary rat alveolar epithelial cell monolayers was 20–40 times faster than that of negatively charged, carboxyl-functionalized PNP (COOH-PNP). Trafficking was shown not to occur via known

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major endocytic pathways, suggesting that such translocation may take place by PNP diffusion through the lipid bilayer of cell membranes [20].

Despite the importance of ENM–biomembrane molecular interactions, they are poorly understood. One challenge arises from the inherent complexity of cell membranes, which are composed of a lipid bilayer decorated with a variety of embedded proteins and other accessory molecules. The accessory molecules contribute functionalities needed for vital membrane processes, including energy generation, recognition, communication and selective molecular transport [21,22]. Furthermore, biomembrane composition and function vary between different parts of a cell and in different cell types [23]. Given the inherent complexity and diversity of intact biomembranes, it is difficult to carry out well defined experiments and test hypotheses about the role of specific biomembrane components during ENM–biomembrane interactions.

A promising, bottom-up approach to study ENM–biomembrane interactions is to use biomimetic interfaces containing a bilayer lipid membrane (BLM) and the minimum number of other membrane constituents needed to mimic desired biomembrane functions. Several BLM-based platforms have been developed. The planar bilayer lipid membrane (pBLM) method involves forming an unsupported BLM across a small aperture between two aqueous solutions. This approach provides natural biomembrane fluidity and lipid mobility. However, a pBLM is typically fragile and exhibits a short lifetime. The supported BLM (sBLM) method involves self assembling a BLM on a hydrophilic substrate, such as silica or mica [24]. This approach provides increased membrane stability but lacks an ion reservoir between the BLM and substrate, hindering the use of electrochemical methods to measure transmembrane ion transport across the membrane. In the tethered BLM (tBLM) method (Fig. 1(A)), lipid molecules are first chemically tethered to the substrate to establish a template for the tBLM's lower leaflet. Then, additional lipid molecules are added, typically by liposome rupture, to complete the tBLM. The tBLM features both improved BLM stability and an ion reservoir on both sides of the membrane [25–27]. Forming a tBLM on gold allows the use of electrochemical impedance spectroscopy (EIS) to measure the membrane's electrical resistance ( $R_m$ ) [19,28]. The  $R_m$  value, which is a key measure of a biomembrane's physical integrity and lack of defects, can serve as a measure of ENM-induced biomembrane disruption [29].

Amorphous silica nanoparticles represent an excellent model ENM for systematic studies of ENM–biomembrane interactions, because they are commonly found in nature, are widely used in commercial products [30], and are being developed as cargo carriers in targeted drug delivery [31–33]. Compared to crystalline silica particles, which if inhaled can cause pulmonary silicosis [34], amorphous silica nanoparticles are much less toxic to the lung. Inhalation of amorphous silica triggers minimal pulmonary inflammation in rodents [35]. The nanotoxicity properties of amorphous silica nanoparticles have been shown to vary with their size [36], structure [37] and surface properties [19,38], which can readily be customized via chemical functionalization. Pore formation in synthetic BLM composed of 1- $\alpha$ -dimyristoyl phosphatidylcholine (DMPC) induced by silica nanoparticles less than 22 nm in size has been monitored by atomic force microscopy (AFM) [39].

The purpose of this study was to test the hypothesis that a tBLM-based method could be used to distinguish patterns of biomembrane disruption caused by nanoparticles that had identical amorphous-silica cores but different surface functional groups. EIS was used to measure time-dependent changes in the  $R_m$  of a highly insulating tBLM following exposure to three types of silica-core nanoparticles: bare, amine-functionalized, and carboxyl-functionalized. The rate of decrease in  $R_m$  was described using an exponential model, and hierarchical clustering was applied to statistically analyze whether the method could distinguish between the effects of different silica-core ENM. Dynamic light scattering (DLS) was used to measure changes in the nanoparticles' effective diameter before and after interactions with the

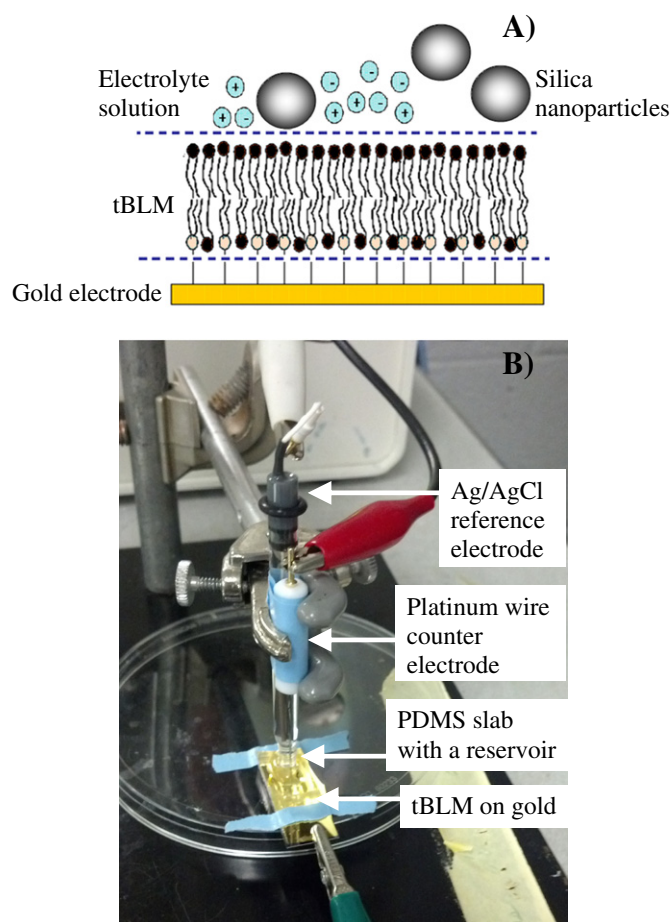


Fig. 1. (A) Schematic diagram of tBLM interaction with silica-core nanoparticles; (B) three electrode setup for EIS measurement.

tBLM. The results demonstrate the suitability of the tBLM method to screen ENM for aggressiveness in biomembrane disruption.

## 2. Material and methods

### 2.1. Materials

Chloroform-solubilized 1,2-dioleoyl-*sn*-glycero-phosphocholine (DOPC) and 1,2-dipalmitoyl-*sn*-glycero-phosphothioethanol (DPPE) in form of sodium salt were purchased from Avanti Polar Lipids (Alabaster, AL). All other chemicals, including NaCl, KCl, CaCl<sub>2</sub> and 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES), were obtained from Sigma Aldrich (St. Louis, MO). All aqueous solutions were prepared in deionized (DI) water (18.2 M $\Omega$ ) supplied by a Nanopure-UV four-stage purifier (Barnstead International, Dubuque, IA). Sylgard 184 silicone elastomer to prepare polydimethylsiloxane (PDMS) slab was purchased from Ellsworth Adhesives (Germantown, WI). Reference electrodes of silver/silver chloride and platinum counter electrodes were purchased from Bioanalytical Systems (West Lafayette, IN). All nanoparticles dispersed in DI water were stored at 4 °C at a concentration of 10 mg/mL.

### 2.2. Formation of tBLM on gold substrate

Electrodes consisting of a gold layer (100 nm thick) coated on a silicon wafer by chemical vapor deposition (Lance Goddard Associates, Santa Clara, CA) were cleaned in fresh piranha solution (51% H<sub>2</sub>SO<sub>4</sub>

and 30% H<sub>2</sub>O<sub>2</sub> in a ratio of 7:3) for 30 s, washed with deionized water and then dried in nitrogen. A self-assembled monolayer (SAM) of DPTE was chemically adsorbed by dipping a clean gold electrode into 1 mM ethanolic DPTE solution for 1 h, rinsing with ethanol, and drying with nitrogen. Preparation of polydimethylsiloxane (PDMS) slabs with a cut-out reservoir is described in the supplementary material.

Small unilamellar vesicles (SUV) of DOPC were prepared by freeze drying DOPC dissolved in chloroform in a Labconco freeze dry system (Labconco Corporation, Kansas, MO) at  $-47^{\circ}\text{C}$  for 2 h followed by hydration in HEPES buffer (10 mM HEPES, 150 mM NaCl, 2 mM CaCl<sub>2</sub>, pH 7.4) to a concentration of 1 mM. After ultrasonication for 20 min, 300  $\mu\text{L}$  of the resulting SUV suspension was added to the PDMS slab reservoir and incubated at room temperature for 24 h. After tBLM formation, the liposome solution was replaced with fresh 10 mM KCl electrolyte (pH 6.1). Then sonicated nanoparticle solution was transferred to the PDMS reservoir and mixed by a pipette to reach a final concentration of 300  $\mu\text{g}/\text{mL}$  in 10 mM KCl. In some control experiments, the volume of DOPC and nanoparticles transferred to the PDMS reservoir was varied, but the nanoparticles were maintained at the same concentration (300  $\mu\text{g}/\text{mL}$  in 10 mM KCl) in all experiments.

### 2.3. Electrochemical impedance spectroscopy (EIS)

A BLM's lipid core blocks ion diffusion and thereby provides biomembranes with inherently high  $R_m$  values (order of  $\text{M}\Omega\text{ cm}^2$ ). Molecules that disrupt or induce pores in BLM, such as channel proteins, ionophores, and some bacterial toxins, reduce  $R_m$  while maintaining membrane capacitance roughly constant [40–42]. In this study, EIS was used to measure changes in  $R_m$  during 5 h incubation with 300  $\mu\text{g}/\text{mL}$  silica-core nanoparticles. A supporting electrolyte of 10 mM KCl was chosen because it provided sufficient conductance for the EIS studies while not inducing significant nanoparticle aggregation. EIS experiments were conducted using a CHI 660B electrochemical workstation (CH Instruments Inc., Austin, TX) configured in a three-electrode setup (Fig. 1(B)). An ac perturbation of 5 mV was superimposed on dc bias of 0 V over a frequency ( $\omega$ ) range between 0.01 Hz and 10,000 Hz. Experiments were conducted in triplicate for each type of nanoparticle, including the control groups. To determine  $R_m$  values, a modified Randles equivalent circuit (Fig. 2(A)) was fit to the electrical impedance ( $Z$ ) data [43,44] using Zview software (Scribner Associates, Southern Pines, NC). A constant-phase element (CPE) was used in this modified model to represent electrical behavior of heterogeneous sub-membrane space [45,46].

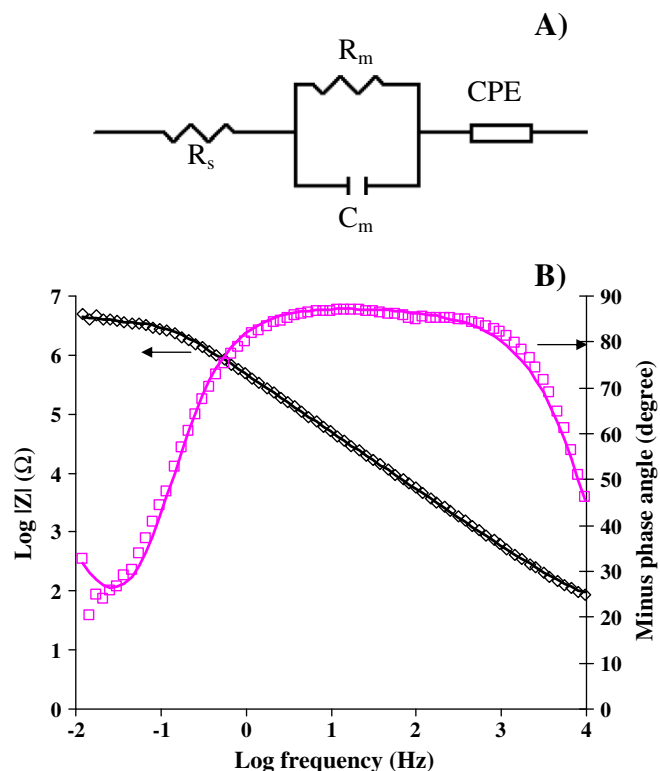
### 2.4. Atomic force microscopy (AFM) imaging of tBLM

A DPTE/DOPC tBLM was formed using the procedure described above on a  $1\text{ cm} \times 1\text{ cm}$  piece of freshly cleaned gold. AFM imaging experiments were performed in tapping mode using an Asylum Research Cypher Scanning Probe Microscope (Santa Barbara, CA) equipped with a droplet cantilever holder. A drive  $\omega$  of  $\sim 30\text{ kHz}$  and spring constant of 0.01 N/m for the silicon nitride cantilever were determined by thermal data. After tBLM formation, the liposome suspension was exchanged with DI water before AFM scanning.

### 2.5. Fabrication and characterization of silica-core nanoparticles

The silica-core nanoparticles were fabricated from colloidal silica (SNOWTEX 20L (Nissan Chemical Industries, Ltd. SNT20L, 40–50 nm, 20 wt.% suspension in H<sub>2</sub>O) as described in the supplemental information.

Because ENM aggregation can be affected by medium composition, especially electrolyte concentration [5,47], DLS was performed to identify an aqueous medium for the experiments that did not induce aggregation. In addition, particle size distributions were measured before and after ENM exposure to the tBLM [48]. Effective diameter and surface



**Fig. 2.** (A) Modified Randles equivalent circuit that consists of an electrolyte solution resistance  $R_s$ , a membrane capacitance,  $C_m$ , a membrane resistance,  $R_m$ , and a constant-phase element, CPE, which dominates the hydrophilic spacer region. (B) Experimental and predicted EIS spectra of tBLM formed on  $0.45\text{ cm}^2$  gold. Left vertical axis: log of impedance ( $Z$ ) magnitude (diamonds). Right vertical axis: minus phase angle (squares). Solid curves are impedance curves simulated from modified Randles equivalent circuit using Zview software.

charge (zeta potential) of functionalized silica-core nanoparticles were determined using a Brookhaven 90 Plus particle analyzer (Brookhaven Instruments Inc., Holtsville, NY) at  $25^{\circ}\text{C}$  in 10 mM KCl. In control experiments to determine conditions that triggered nanoparticle aggregation, bare silica nanoparticles were incubated in 10 mM KCl in a glass cuvette, in a PDMS reservoir only, and in a PDMS reservoir on gold without tBLM, before particle-size analysis.

### 2.6. Statistical analysis

An exponential function Eq. (1) was fit to the  $R_m$  vs time profiles measured during exposure to silica-core nanoparticles,

$$R_m = (R_i - R_f) \exp(kt) + R_f \quad (1)$$

where  $R_i$  is the initial resistance,  $R_f$  is the final resistance, and  $k$  is the exponential rate constant. A relative membrane resistance change ( $R_m\%$ ) was also calculated using Eq. (2):

$$R_m\% = (R_i - R_f)/R_i \quad (2)$$

A pattern matrix containing a total of  $n = 12$  experiments as rows, and  $p = 3$  parameters, ( $R_i - R_f$ ),  $k$ , and  $R_m\%$ , determined for each experiment as columns, was analyzed using hierarchical clustering procedures in R software (Version 2.13.2: The R Foundation for Statistical Computing), to quantify similarity between the curves. For hierarchical clustering, the distances between rows (experiments) when forming the clusters were calculated using Euclidean distance measured by summing the squared differences between rows over all columns (parameters). Since the parameters have scales in quite different orders

of magnitude, the scales were standardized [49] prior to the clustering procedure to prevent a parameter with larger order of magnitude from dominating the clustering results. Also, Ward's method was used to evaluate Euclidean distances and to determine which clusters should be linked together at each step in the dendrogram. Since the number of sample points (experiments) to be clustered was relatively small, the uncertainty associated with the hierarchical clustering results was assessed by computing the approximately unbiased (AU) *p*-value via multiscale bootstrap resampling [50] with replacement at each bifurcation. AU *p* values provide quantitative assessment of confidence in each clustering step. AU *p* values close to 100% indicate relative certainty that the clustering is true. Two-sample *t* test assuming unequal variance and Wilcoxon rank sum test were performed to compare size and surface charge of silica-core nanoparticles before and after tBLM experiments. Differences were considered significant at  $p < 0.05$ .

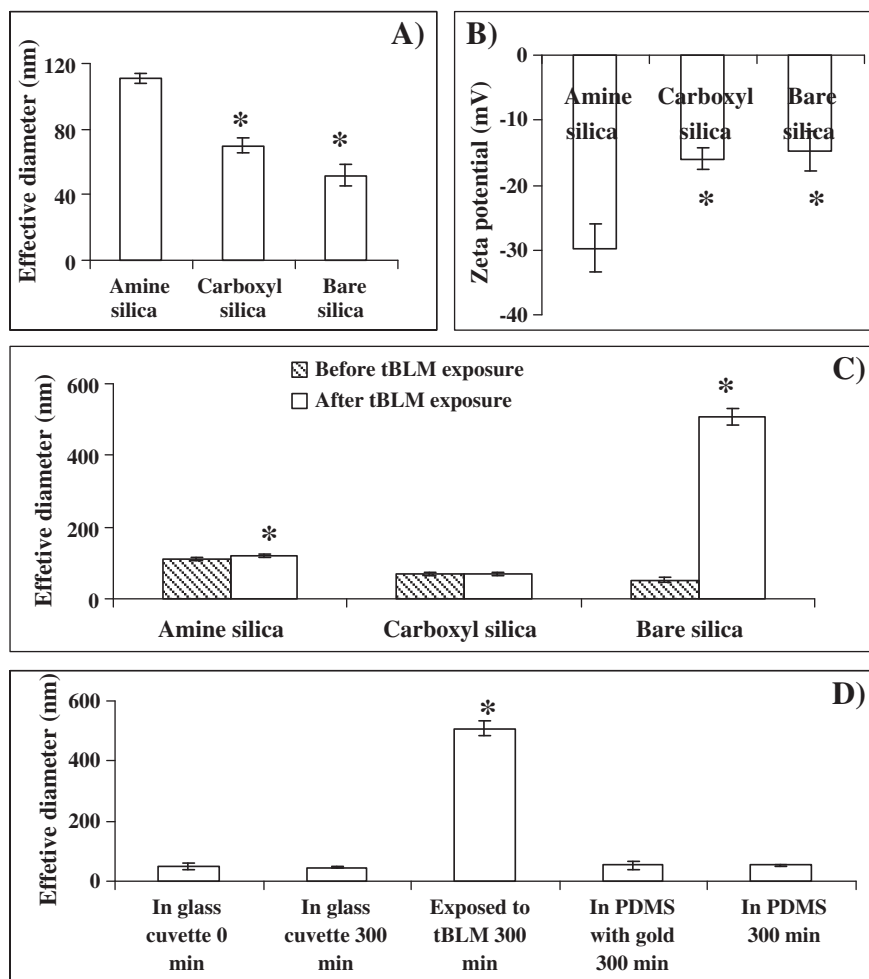
To identify whether measured changes in  $R_m$  following silica-core nanoparticle exposure were significant, the random fluctuation (noise) was estimated from the three control-group replicates that were not exposed to nanoparticles. The observations were assumed to be random samples from a normal distribution, and the variance was estimated using the pooled data from three control replicates (each with mean subtracted) to represent the random fluctuation. To validate the assumption, the Ljung–Box test [51] was used to test the potential serial correlation (randomness). Next, the normality condition for individual replicate of control group was tested using Shapiro–Wilk test

[52]. Finally the homogeneity of variances across three replicates was assessed using Bartlett's test [53]. For all tests the *p*-values were greater than 0.05, indicating the corresponding assumptions hold at the 95% confidence level. After validating all assumptions, variances from three control replicates were pooled to estimate one single variance to represent the fluctuation.

### 3. Results

#### 3.1. Characterization of dispersed silica-core nanoparticles before interaction with tBLM

The various functionalization chemistries resulted in some measurable differences in zeta potential and average diameter as measured by DLS. Fig. 3 shows effective diameter (Fig. 3(A)) and zeta potential (Fig. 3(B)) of bare, carboxyl-functionalized, and amine-functionalized silica nanoparticles before interaction with the tBLM. Bare silica had a diameter of  $51.9 \pm 6.5$  nm and a zeta potential of  $-14.8 \pm 3.0$  mV. Carboxyl-functionalized silica had an effective diameter of  $69.9 \pm 4.4$  nm and zeta potential of  $-16.0 \pm 3.0$  mV, respectively. Amine-functionalized silica had an effective diameter  $111.0 \pm 2.7$  nm and a zeta potential ( $-29.7 \pm 1.7$  mV). Two-sample *t* test indicated that the average size and zeta potential values of amine silica were significantly different from those of either bare silica or carboxyl silica, while carboxyl silica did not show a difference from bare silica for size or



**Fig. 3.** (A) Size and (B) zeta potential of silica-core nanoparticles in 10 mM KCl before interaction with tBLM. An asterisk indicates significant difference between effective diameter or zeta potential of carboxylic acid or bare silica and amine silica. (C) Effective diameter of silica-core nanoparticles before and after 5 h interaction with tBLM, measured by DLS. An asterisk indicates significant difference of effective diameter of silica nanoparticles between before tBLM exposure and after. (D) Effective diameter of bare silica nanoparticles under various conditions by DLS. The asterisk indicates that the size of bare silica measured in the presence of tBLM is significantly higher than that measured in all other conditions.



zeta potential. The unexpected larger size and negative charge of the amine-functionalized nanoparticles are believed to be due to cross-linking of two or more nanoparticles via adsorption of a multivalent anion onto the aminated surface. Candidate multivalent anions include  $\text{SO}_4^{2-}$ , which was the counterion for the  $\text{Cu}^{2+}$  click chemistry catalyst, and  $\text{EDTA}^{4-}$ , which was added in excess to remove the  $\text{Cu}^{2+}$  catalyst following the reaction. Stable adsorption of oppositely charged polyelectrolytes has been reported to reverse the zeta potential of charged particles and to encourage aggregation by creating ionic bridges between adjacent particles [54].

### 3.2. AFM imaging and electrochemical characterization of tBLM

Tapping-mode AFM images of a DPPE/DOPC tBLM in DI water (Fig. 4(A)) and the bare gold substrate in water (Fig. 4(B)) have a similar appearance. The tBLM's surface roughness,  $1.16 \pm 0.00032$  nm, agrees well with that reported ( $0.9 \pm 0.2$  nm) for a densely packed tBLM [55] and is not statistically different from that of bare gold substrate ( $1.12 \pm 0.0085$  nm). This result is consistent with formation of a conformal BLM on the gold that is uniform and homogeneous.

Impedance analysis was used to investigate the integrity of the tBLM. The presence of small defects (holes) in the tBLM would allow local contact between the electrolyte solution and the electrode. The

overall  $R_m$  of the tBLM may be calculated by considering the tBLM-coated regions and the defect regions as distinct conductive paths in parallel. Because the resistivity of a phospholipid bilayer (about  $10^{12} \Omega \text{ cm}$ ) is about 10 orders of magnitude higher than that of the 10 mM KCl electrolyte solution (about  $70 \Omega \text{ cm}$ ) [56,57], the overall  $R_m$  of a tBLM is extremely sensitive to small defect areas. For example, addition of a defect area fraction as low as  $1.8 \times 10^{-13}$  to a previously defect-free tBLM would result in 50% reduction in the  $R_m$  value. This inherent sensitivity helps to explain the variation in initial  $R_m$  values before nanoparticle exposure seen among replicates, as well as the method's ability to detect changes in  $R_m$  following nanoparticle exposure (Fig. 5). Because such a low area fraction could not be discerned in an AFM image, the height variations observed in Fig. 4(A) are likely due to roughness in the underlying electrode, rather than defects in the tBLM.

Fitting the equivalent circuit model (Fig. 2(A)) to a typical set of tBLM impedance data gave an  $R_m$  value of  $1.5 \text{ M}\Omega \text{ cm}^2$  and a  $C_m$  value of  $0.84 \mu\text{F}/\text{cm}^2$  (Fig. 2(B)). These values compare favorably with literature values, which range between 0.1 and  $15 \text{ M}\Omega \text{ cm}^2$ , [26,40,41,58–60], providing further evidence of a highly insulating tBLM.

### 3.3. Effects of silica-core nanoparticles on membrane resistance of tBLM

Fig. 5(A) shows triplicate  $R_m$  vs. time curves for the control runs performed without nanoparticles, as well as the runs conducted with 300  $\mu\text{g}/\text{mL}$  of silica-core nanoparticles having different functional groups. A Ljung–Box test shows all p-values (Table 1 in supplementary material) greater than 0.05 for control groups at lags ranging from one to five, so that the serial correlation shows non-significance and randomness assumption holds well. Thus, observations can be treated as random samples for each of the three replicates of control groups. Similarly, the Shapiro–Wilk test shows non-significance (p-value 0.84, 0.25 and 0.90, respectively), indicating that the normality assumption holds for all three replicates. Then, the Bartlett's test of homogeneity of variances shows non-significance (p-value 0.06), and the three replicates are roughly considered to have the same variance. Thus, the data from individual replicates were pooled to obtain the common standard deviation, which represents the fluctuation level to be  $0.062 \text{ M}\Omega \text{ cm}^2$  with 95% confidence interval. Fluctuations in initial  $R_m$  values among experiments are believed to result from differences in roughness of the gold substrates after cleaning with piranha solution [40]. Although piranha treatment enhances the electrocatalytic activities of gold electrode, AFM and SEM studies also demonstrated piranha treatment could substantially increase gold electrode roughness [61,62].

In all runs shown in Fig. 5(B)–(D), the final  $R_m$  values were smaller than the initial values, indicating an enhanced ability of ions to traverse the tBLM after exposure to the silica-core nanoparticles. For the bare silica and carboxyl-functionalized nanoparticles, the rates of  $R_m$  decline could be accurately traced using a 30 min interval between  $R_m$  measurements. However, for the amine-functionalized nanoparticles, virtually the entire drop in  $R_m$  occurred within the first 30 min (Fig. 5(C)). To more accurately estimate the exponential rate constant ( $k$  in Eq. (1)) for these nanoparticles, EIS was performed over a smaller  $\omega$  range (from 0.1 Hz to 10,000 Hz), reducing the sampling period by a factor of 15. The use of a two minute sampling interval allowed the rate of  $R_m$  decline to be more clearly resolved for the amine silica (Fig. 5(E)).

Clustering analysis was then used to test the hypothesis that the tBLM method could distinguish patterns of biomembrane disruption caused by nanoparticles that had identical amorphous-silica cores but different surface functional groups. Eqs. (1) and (2) were fit to  $R_m$  vs. time profiles (Fig. 5). Then, the clustering analysis was applied to the resulting three values of ( $R_i - R_f$ ),  $k$ , and  $R_m\%$ . The dendrogram generated from hierarchical clustering using a parameter matrix containing best-fit ( $R_i - R_f$ ),  $k$ , and  $R_m\%$  values (Fig. 6) visually displays the degree of similarity between experiments. Numbers below the line indicate the

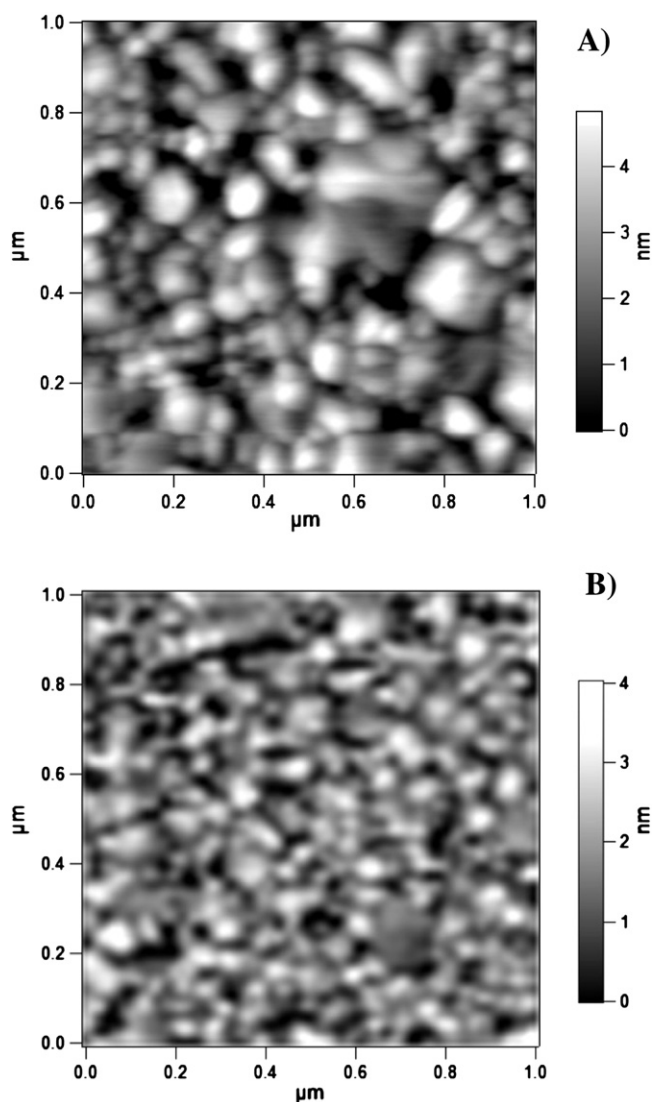
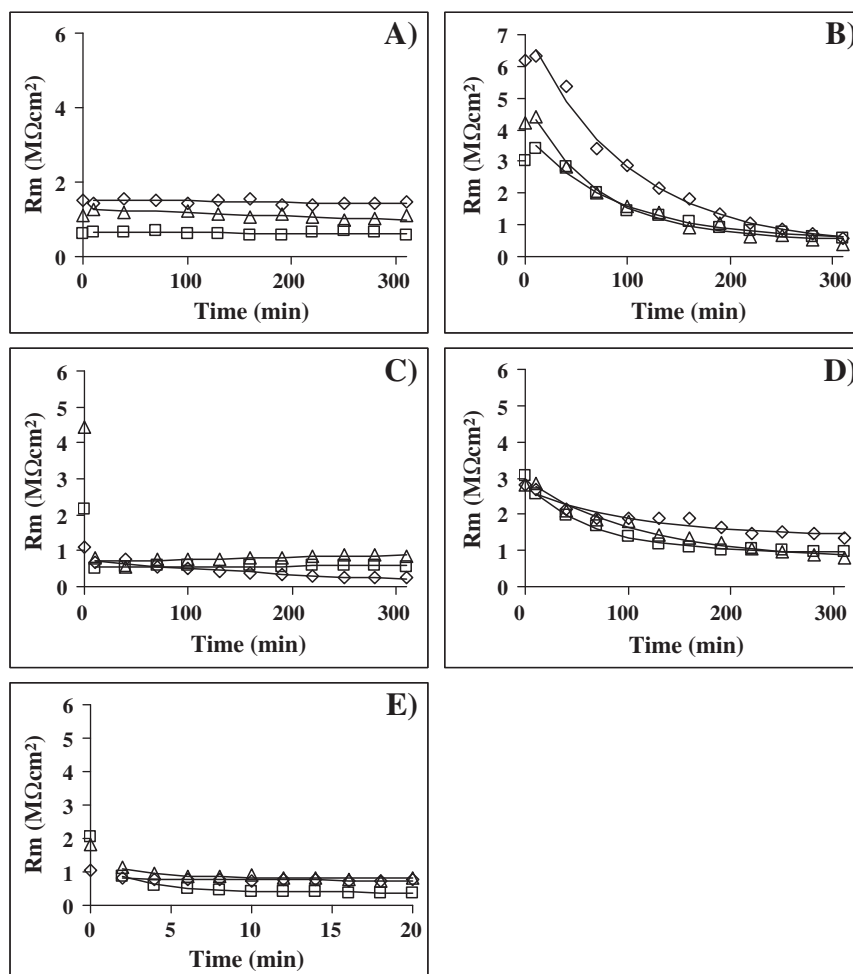


Fig. 4. AFM height images of tBLM (A) and bare gold substrate (B).



**Fig. 5.** Membrane resistance time profiles during tBLM interaction with 300  $\mu\text{g/mL}$  bare and functionalized silica-core nanoparticles in 10 mM KCl. The addition of (A) an aliquot of water (control), (B) carboxyl functionalized silica, (C) amine functionalized silica, (D) bare silica, and (E) amine functionalized silica nanoparticles was performed immediately after first  $R_m$  recorded for each replicate. Open points are experimental values, and curves are optimized exponential model fits.

sequence in which two objects are linked together, while numbers above the line are AU p values at every clustering step.

The dendrogram indicates that each type of nanoparticle clusters as a unique group, consistent with the hypothesis. When only  $R_m$  data acquired at 30-minute intervals (Fig. 5(A)–(D)) were used to calculate  $(R_i - R_f)$ ,  $k$ , and  $R_m\%$  values, the amine silica nanoparticles clustered most closely with the control group (Fig. 6(A)), because 30-min sampling interval did not capture the rapid exponential decline in  $R_m$  that is apparent in Fig. 5(E). However, when the two-min sampling interval  $R_m$  profiles for amine silica nanoparticles (Fig. 5(E)) were used, the resulting dendrogram (Fig. 6(B)) showed little similarity between the amine silica nanoparticles and the other three cases. Also, Fig. 6(B) shows higher AU p values than those in Fig. 6(A), indicating a greater degree of certainty that the clusters are distinct.

#### 3.4. Effects of tBLM exposure on aggregation of silica-core nanoparticles

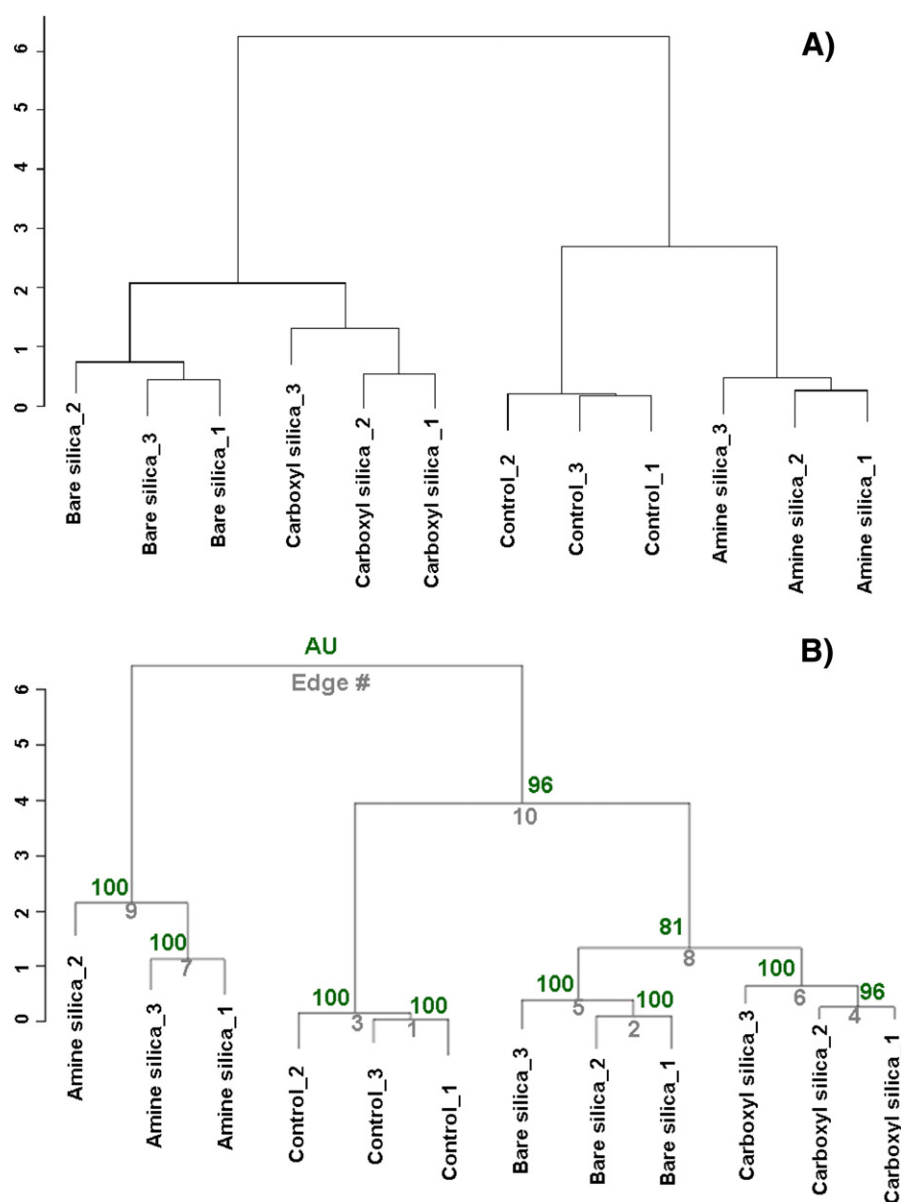
Fig. 3(C) shows effective diameter of bare, amine-functionalized, and carboxyl-functionalized nanoparticles measured before and after interaction with tBLM. Both two-sample  $t$  test and Wilcoxon rank sum tests indicated a significant difference between the size of bare and amine-functionalized silica before and after exposure to the tBLM. However, the size of carboxyl-functionalized silica did not change significantly during tBLM exposure. Control experiments were conducted to identify whether the apparent aggregation of bare silica nanoparticles

may have been due to factors other than the tBLM exposure. Final particle sizes measured following 300 min contact of the bare silica nanoparticles with the glass cuvette, the PDMS well, the PDMS well with a gold electrode, and the PDMS well with a gold electrode covered with a tBLM, respectively, are shown in Fig. 3(D). Statistical analysis indicated no significant difference before and after exposure of the bare silica nanoparticles to all components tested, except the tBLM.

#### 4. Discussion

The dendrogram's ability to cluster silica-core nanoparticles according to their surface functional groups confirms the study's central hypothesis. Thus, this study demonstrates for the first time that a tBLM method can measure time-dependent changes in tBLM  $R_m$  values with sufficient sensitivity to discern differences in potency of BLM disruption by ENM that are identical except for their surface functional groups.

Although bare silica, carboxyl silica, and amine silica particles all caused a decrease in  $R_m$  that was well represented by an exponential curve, other trends in nanoparticle-induced  $R_m$  dynamics have also been observed. For example, when the same silica-core nanoparticles were functionalized with short polyethylene glycol (PEG) functional groups, the resulting PEG-functionalized silica nanoparticles triggered a minor increase in the tBLM resistance (data not shown). Because these  $R_m$  trajectories were poorly described by the exponential decay



**Fig. 6.** Hierarchical clustering dendrogram resulting from Ward's method analysis of Fig. 5 data. Parameters for dendrogram were analyzed Fig. 6(A) from data in Fig. 5(A)–(D), and Fig. 6(B) from data in Fig. 5(A), (B), (D), and (E). The sequence of clustering steps is shown below the line, and the AU p-value for each clustering step is shown above the line. The vertical axis is a measure of the distance between the members of each clustered set.

model (Eq. (1)) and were easily distinguishable from the trajectories of the other three silica-core nanoparticles, the PEG-functionalized nanoparticle data were not included in the clustering analysis.

At this time, molecular interaction mechanisms between the ENM and tBLM are insufficiently understood to unambiguously map the  $R_m$  trajectories into detailed knowledge of ENM-biomembrane interactions. Additional research is needed to calibrate the tBLM in terms of underlying mechanisms. Nevertheless, trends in the experimental results presented here provide reproducible measures of molecular-scale interactions. One hypothesis to explain the decline in  $R_m$  is that nanoparticles adsorb to the tBLM and then remove lipids, thereby generating or enlarging defects in the tBLM through which ions could traverse the tBLM. This hypothesis is consistent with the observed increase in effective diameter of bare and amine-functionalized silica following exposure to the tBLM. Lipid transferred from the tBLM to the nanoparticles could trigger nanoparticle cross-linking and aggregation. The hypothesis is also consistent with reports that PAMAM dendrimers removed lipid from an sBLM on a carbon electrode, thereby

reducing  $R_m$  measured by EIS and increasing Faradaic current measured by cyclic voltammetry [63]. Additional evidence for BLM lipid removal has been provided by AFM images showing holes in sBLM on mica induced by dendrimers [64–66], as well as by visible microscopy images showing disruption of a sBLM by semihydrophobic nanoparticles [67]. Finally, de Planque et al. have shown that both bare silica and amine-functionalized silica nanoparticles induced current spikes in DOPC planar BLM and reduced the lifetime of the BLM [68].

Mechanistic insight into nanoparticle–bilayer interactions can be difficult to discern when using intact cell membranes, because the nanoparticles may interact with intact cell membranes through a variety of mechanisms [19], some of which involve protein-mediated events. For example, in receptor-mediated endocytosis, highly selective membrane receptor proteins may bind a target macromolecule and trigger endocytosis [69]. Results of this study showed not only that silica-core nanoparticles can disrupt biomembranes through direct action on the lipid bilayer, as evidenced by a significant reduction in the tBLM's  $R_m$  value, but that the potency of disruption can be significantly affected

by the surface chemistry of the otherwise identical nanoparticles. This finding suggests that it may be possible to achieve highly selective macromolecule–biomembrane interactions without the need for protein-mediated processes.

The simple exponential model (Eq. (1)) did a reasonable job of describing the  $R_m$  trajectories for the bare, carboxyl-functionalized, and amine-functionalized nanoparticles, and the regression constants are physically meaningful. Specifically,  $(R_i - R_f)$ ,  $k$ , and  $R_m\%$ , represent the total change, the rate of change, and the fractional change in  $R_m$  as a function of time. This physical interpretation provides a framework by which nanoparticles may be ranked in order of potency for biomembrane disruption. The parameter regression constants for amine, carboxyl, and bare silica, combined with the observation that PEG-functionalized silica-core nanoparticles did not reduce  $R_m$ , yield the following potency rank for functionalized amorphous silica ENM: amine > carboxyl ~ bare silica > PEG.

The hierarchical clustering method presented here could be extended to models other than Eq. (1), such as one capable of describing an initial increase in  $R_m$  followed by a decrease. In addition to different models, different combinations of variables could be analyzed in the clustering analysis. We found that including all three variables:  $k$ ,  $(R_i - R_f)$  and  $R_m\%$  allowed effects of different nanoparticles to be better distinguished than when only two ( $k$  and either  $(R_i - R_f)$  or  $R_m\%$ ) were used.

Finally, the utility of the tBLM model for characterizing ENM–biomembrane interactions can be assessed in comparison to the pBLM method, which has been adapted from the field of electrophysiology [29]. The pBLM method has considerably greater sensitivity and dynamic range, allowing dynamics of individual pores in a pBLM to be characterized with current resolution on the order of pA and temporal resolution on the order of ms. However, the tBLM structure is more robust and uses less expensive equipment. Moreover, because the tBLM is formed by molecular self-assembly, the tBLM method is suitable for automated, robotic operation, and it can be miniaturized onto microfabricated electrode arrays for high-throughput applications. Thus, the tBLM method appears well suited to help assess ENM for biomembrane interactions and to rapidly screen ENM libraries for those giving desirable functional and biosafety profiles.

## 5. Conclusions

The novel method presented here integrates EIS to monitor the  $R_m$  of a tBLM during nanoparticle exposure, an exponential-decay model to describe  $R_m$  vs time trajectories, and statistical hierarchical clustering to generate a dendrogram that graphically depicts similarities of time trajectories due to different nanoparticles. The  $R_m$  profiles obtained while challenging a tBLM composed of DPPE/DOPC with three types of amorphous-silica-core nanoparticles (bare, amine-functionalized, and carboxyl-functionalized) could be reasonably described with a decaying exponential model. The resulting dendrogram successfully clustered each set of replicates and confirmed the study's central hypothesis that a tBLM-based method could be used to distinguish patterns of biomembrane disruption caused by nanoparticles that had identical amorphous-silica cores but different surface functional groups. The results also allowed the tBLM-disrupting potency of the surface-functionalized silica nanoparticles to be ranked in the decreasing order amine > carboxyl ~ bare silica, with PEG-functionalized nanoparticles not reducing the  $R_m$ . These findings indicate that nanoparticle surface chemistry (e.g., click chemistry) can be used to modify the nanoparticles' surface functional group and thereby modulate nanoparticle–biomembrane interactions. Changes in the surface functional group also influenced the tendency of silica nanoparticles to aggregate following exposure to a tBLM; bare silica nanoparticles aggregated significantly, while carboxyl-functionalized nanoparticles showed no change in diameter. The methods presented here are generic and could be applied to a wide range of nanoparticles and tBLM

compositions to test other hypotheses about nanomaterial interactions with biomembranes.

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.bbmem.2013.09.007>.

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